

420

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors,

Case No. 08-13555 (JMP) (Jointly Administered)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limited  
Name of Transferee

SHUM KWOK KA / SHUM CHAN YUK YIN ALLA  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 39049  
Amount of Claim: USD 64232  
Date Claim Filed: 13 Oct 2009

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: (852) 92009813 / (852) 92098401  
Last Four Digits of Acct. #: 2118

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

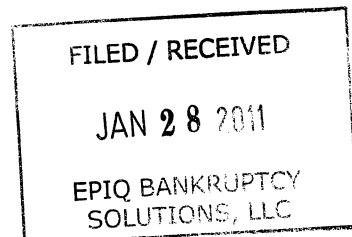
I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent



Date: 24 Jan 2011

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_, Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

Address of Alleged Transferor:

Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT